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In re patent application of

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TRANSLATOR'S DECLARATION

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

I, the below named translator, certify that I am familiar with both the German and the English languages, that I have prepared the attached English translation of International Application No. PCT/DE98/03015, and that the English translation is a true, faithful and exact translation of the corresponding German language paper.

I further declare that all statements made in this declaration of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful, false statements may jeopardize the validity of legal decisions of any nature based on them.

Date: June 21, 1999

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For and on behalf of RWS Group plc

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# Background of the invention

The invention relates to a microscope, in particular a confocal microscope or confocal laser scanning microscope.

Conventional microscopes, and indeed confocal microscopes or confocal laser scanning microscopes as well, have been disclosed for years in the art, so it is not necessary to provide a specific description of these microscopes here. In the confocal laser scanning microscope, the beam scanning direction provides a preferred direction for the measurement or scanning. Since complicated structures - such as modern semiconductor designs - increasingly do not have rectangular structures, however, their measurement - in industry - necessitates rotating these structures or the object which is configured in such a way relative to the scanning beam.

Furthermore, for measurement purposes it may be necessary, in principle, to perform rotation of the object in order, for example, to be able to compare any desired structural features with predetermined structural patterns in the context of quantitative structural analysis. At any rate, in a wide variety of fields of application there is a fundamental requirement of being able to rotate the image as desired, for which purpose it has been necessary to rotate the object heretofore.

When the object is rotated about an arbitrary point, the object has to be rotated, on the one hand, and displaced, on the other hand, in such a way that this

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arbitrary point lies at the pivot point of the object, namely in order to obtain the center point of the image. However, the rotation and, if appropriate, simultaneous displacement of the object leads to a complex movement entailing a considerable outlay on setting.

As seen per se, an apparatus and a method for projecting images for use in television or video technology are disclosed in WO-A1-94/08425, according to which, during image projections, rotations are performed for the purpose of compensating for horizontal movements.

*Summary of the invention*  
The present invention is based on the object, therefore, of configuring and developing a microscope, in particular a confocal microscope or confocal laser scanning microscope, in such a way that an object can be measured from a plurality of angular positions whilst avoiding rotation of the object to be measured.

The invention's microscope or confocal microscope or confocal laser scanning microscope achieves the above object by means of the features *described herein* of ~~Patent Claim 1~~, according to which the invention's microscope, confocal microscope or confocal laser scanning microscope is characterized by an optical arrangement for image rotation, said optical arrangement being provided in the beam path of the microscope.

The invention marks a departure, in the case of microscopes of the type discussed here, from rotating the object itself, or simultaneously displacing it in the process, in order to measure the object at different beam angles. Rather, optical rotation is now performed, namely

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by means of an optical arrangement for image rotation, said optical arrangement being provided in the beam path of the microscope, with the result that the object itself remains positioned in an unchanged manner. Consequently, repeated setting or calibration of the object is no longer necessary.

The optical arrangement for image rotation may be, for example, a prism designed in a wide variety of ways; thus, by way of example, a Dove prism or an Abbe prism. Further suitable prisms can be used for this purpose, it being essential that the prism is used as a quasi monolithic module for image rotation.

In the context of an alternative configuration of the optical arrangement for image rotation, the latter could be a mirror arrangement, preferably an arrangement with an odd number of mirrors, as is the case with the "K" mirror. More complicated configurations are conceivable here, it being necessary to take account of the fact that the light losses increase as the number of mirrors increases. In this respect, an arrangement with three mirrors presents itself as an advantageous configuration.

With regard to concrete localization of the arrangement for image rotation, it is advantageous in the context of an especially simple configuration if said optical arrangement is arranged in the parallel beam path of the microscope. Specifically, the optical arrangement for image rotation could be arranged between the tube lens and the objective, namely in the infinite beam path of the microscope.

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Likewise, it would alternatively be conceivable for the optical arrangement for image rotation to be arranged downstream of the eyepiece and/or the tube lens, this resulting in inconsiderable requirements of the angular accuracy of the arrangement. In the context of such a configuration, the arrangement for image rotation would easily be able to be retrofitted. The requirement of synchronous rotation of the two eyepieces would certainly be disadvantageous in this case.

In the context of a further configuration option, the optical arrangement for image rotation could serve for rotating all the scanned and video images fed into the microscope by a laser scanner. To that end, the optical arrangement for image rotation could be arranged between a scanning lens and a scanning mirror of the laser scanner. This configuration is advantageous in so far as here there is a substantially smaller degree of angular sensitivity, as exists when the rotor is arranged between tube lens and objective.

In order to avoid interference when coherent light sources are used, the laser scanner could have stationary beam splitters which are sufficiently thick or sufficiently wedge-shaped, with the result that the internal reflections of the original beams run in a manner spatially separated from the original beams. Interference phenomena are thereby suppressed.

Furthermore, a separate adjustment apparatus could be provided for the purpose of minimizing the beam offset during rotation of the arrangement. \*

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Finally, in a further advantageous manner, provision is made of an axially moveable objective and/or an axially moveable objective turret for taking z-sections in arbitrarily oriented directions.

*BRIEF Description of the Drawings*

There are, then, a variety of possibilities for configuring and developing the teaching of the present invention in an advantageous manner. To that end, reference is made ~~on the one hand to the claims which are subordinate to Patent Claim 1, and on the other hand to the following explanation of three exemplary embodiments of the invention with reference to the drawing. In conjunction with the explanation of the preferred exemplary embodiment of the invention, in general preferred configurations and developments of the teaching will also be explained. In the drawing,~~ *to the following exemplary*

Fig. 1 shows, in a schematic side view, a first exemplary embodiment of a microscope according to the invention with an optical arrangement for image rotation,

Fig. 2 shows, in a schematic side view, a second exemplary embodiment of a microscope according to the invention with an optical arrangement for image rotation,

Fig. 3 shows, in a schematic side view, a third exemplary embodiment of a microscope according to the invention with an optical arrangement for image rotation.

*Inst B27 Detailed Description of the Preferred Embodiments*

Fig. 1 shows a first exemplary embodiment of a microscope according to the invention with an arrangement

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2 for image rotation which is provided in the beam path 1 of the microscope.

In the case of the exemplary embodiments which are illustrated in the figures, the arrangement 2 for image rotation is - for the sake of a simple illustration - a "K" mirror arranged at different locations in the beam path 1 of the microscope.

In the case of the illustration shown in Fig. 1, the arrangement 2 is arranged between the tube lens 6 and the objective 4, which will certainly give rise to a problem in terms of retrofitting capability.

In accordance with the illustration in Fig. 2, the arrangement 2 for image rotation is arranged downstream of the eyepiece 3 and the tube lens 6. In this respect, the resulting requirements of the angular accuracy of the arrangement in Figure 1 are substantially less stringent. Moreover, this arrangement can easily be retrofitted, the requisite synchronous rotation for the two eyepieces 3 being problematic or disadvantageous in terms of the handling of the microscope.

In the case of the microscope illustrated in Fig. 3, the optical arrangement 2 for image rotation serves for rotating all the scanned and video images fed into the microscope by a laser scanner 7. Specifically, the optical arrangement 2 for image rotation is arranged between a scanning lens 8 and a scanning mirror 9 of the laser scanner 7.

*Inst B3Y* With regard to further embodiments on the one hand of a concrete configuration of the arrangement 2 for

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image rotation and on the other hand with regard to further measures relating to adjustment for the purpose of minimizing the beam offset or relating to axial mobility of the objective and/or of the objective turret, reference is made to the general part of the description in order to avoid repetition.

In conclusion, it shall be especially emphasized that the exemplary embodiments discussed above serve to provide an understanding of the teaching that is claimed here, but do not restrict said teaching to the exemplary embodiments.

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List of reference symbols

- 1 Beam path
- 2 Arrangement for image rotation ("K" mirror)
- 3 Eyepiece
- 4 Objective
- 5 Eyepiece housing
- 6 Tube lens
- 7 Laser scanner:
  - 10 Beam splitter
  - 11 Detection pinhole
  - 12 Detector
  - 13 Excitation pinhole
  - 14 Light source
- 8 Scanning lens (of the laser scanner)
- 9 Scanning mirror (of the laser scanner)

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